

## **Exhibit B**

REDACTED

CUSTOMER CLAIM

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

Irving H. Picard, Esq.  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: \_\_\_\_\_

HOME: 631-643-7493

Taxpayer I.D. Number (Social Security No.)  
7589

1R0058-1R0057

MICHAEL ROTH  
24 EBBTIDE LANE  
DIX HILLS, NY 11746 6749

(If incorrect, please change)

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

\*\*\*\*\*

1. Claim for money balances as of **December 11, 2008**:
- a. The Broker owes me a Credit (Cr.) Balance of \$ 0
- b. I owe the Broker a Debit (Dr.) Balance of \$ 0

- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, it must be enclosed  
with this claim form. \$ 0

- d. If balance is zero, insert "None." NONE

2. Claim for securities as of **December 11, 2008:**

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

- |   | <u>YES</u>    | <u>NO</u>     |
|---|---------------|---------------|
| a. The Broker owes me securities        | <u>YES</u>    | <u>      </u> |
| b. I owe the Broker securities          | <u>      </u> | <u>NO</u>     |
| c. If yes to either, please list below: |               |               |

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds	
		The Broker Owes Me (Long)	I Owe the Broker (Short)
<u>AS PER ENCLOSED</u>	<u>11-30-08</u>		
	<u>STATEMENT</u>		
<u>      </u>	<u>      </u>	<u>      </u>	<u>      </u>
<u>      </u>	<u>      </u>	<u>      </u>	<u>      </u>
<u>      </u>	<u>      </u>	<u>      </u>	<u>      </u>
<u>      </u>	<u>      </u>	<u>      </u>	<u>      </u>

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

**information regarding any withdrawals you have ever made or payments received from the Debtor.**

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.  
**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

- |   | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| 3. Has there been any change in your account since December 11, 2008? If so, please explain.  | _____      | _____✓    |
| 4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?   | _____      | _____✓    |
| 5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker? | _____      | _____✓    |
| 6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)    | _____      | _____✓    |
| 7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.                         | _____      | _____✓    |
| 8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.                   | _____      | _____✓    |

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. \_\_\_\_\_



Please list the full name and address of anyone assisting you in the preparation of this claim form: \_\_\_\_\_

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.**

Date 1-14-09 Signature [Signature]  
Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

MICHAEL ROTH

24 EBBTIDE LANE  
DIX HILLS NY 11746

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

YOUR ACCOUNT NUMBER 1-R0057-3-0	PERIOD ENDING 11/30/09	PAGE 1
YOUR TAX PAYER IDENTIFICATION NUMBER *****7589		

BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
378		174	BALANCE FORWARD		26,207.75	
270		676	WELLS FARGO & CO NEW	29.800	11,279.40	
234		4500	HEWLETT PACKARD CO	34.900	9,433.00	
153		5002	WAL-MART STORES INC	55.830	13,073.22	
567		8826	INTERNATIONAL BUSINESS MACHS	87.270	13,358.31	
621		9328	EXXON MOBIL CORP	72.880	41,344.96	
297		13654	INTEL CORP	14.510	9,034.71	
405		17979	JOHNSON & JOHNSON	59.580	17,706.25	
216		22305	J.P. MORGAN CHASE & CO	38.530	15,620.55	
126		26631	COCA COLA CO	44.660	9,654.56	
234		30957	MCDONALDS CORP	55.370	6,981.62	
855		35283	MERCK & CO	28.550	6,589.70	
432		39609	MICROSOFT CORP	21.810	18,681.55	
171		52587	ORACLE CORPORATION	17.300	7,490.60	
99		53089	PEPSICO INC	56.410	9,652.11	
729		56913	APPLE INC	100.780	9,980.22	
171		57415	PFIZER INC	16.940	12,378.26	
324		61239	ABBOTT LABORATORIES	54.610	9,344.31	
117		61741	PROCTER & GAMBLE CO	64.080	20,773.92	
225		65565	AMGEN INC	59.160	6,725.72	
540		66067	PHILLIP MORRIS INTERNATIONAL	43.600	9,819.00	
180		69891	BANK OF AMERICA	21.590	11,679.60	
595		70393	QUALCOMM INC	33.770	6,085.60	
			CITI GROUP INC	12.510	7,341.35	

CONTINUED ON PAGE 2

TAIN THIS STATEMENT FOR INCOME TAX PURPOSES

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135 324		74217 74719	SCHLUMBERGER LTD CDMCST CORP CL A	49.480 16.510	6,584.80 5,351.24	
639 162 108		78543 79045 82869	ATET INC CONOCOPHILIPS UNITED PARCEL SVC INC CLASS B	27 52.510 52.040	17,278.00 8,512.62 5,624.32	
657 189 225 108 1,143 306 27		83371 87195 87697 91521 92023 95847 96349 22784	CISCO SYSTEMS INC U S BANCORP CHEVRON CORP UNITED TECHNOLOGIES CORP GENERAL ELECTRIC CO VERIZON COMMUNICATIONS GOOGLE U S TREASURY BILL DUE 2/12/2009	16.730 29.530 73.430 53.160 19.630 30.410 337.400 99.936	11,017.61 5,588.17 16,530.75 5,745.28 22,482.09 9,317.45 9,110.80	449,712.00
	450,000		2/12/2009 FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/12/09	DIV		.41
	838	17884	FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/12/09	DIV		838.00
41,150		27253	FIDELITY SPARTAN U S TREASURY MONEY MARKET FIDELITY SPARTAN U S TREASURY MONEY MARKET FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/19/08	DIV	41,150.00	5.05

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50,000	41,150	52720	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	49,953.00	41,150
		57261	U S TREASURY BILL DUE 03/26/2009	99.926		
18,156		61758	3/26/2009 FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	18,156.00	
			CHECK		5,000.00	
			FIDELITY SPARTAN U S TREASURY MONEY MARKET	CM DIV		1.33
	18,156	77329	DIV 11/25/08 FIDELITY SPARTAN	1		18,156.00
13,158		77868	U S TREASURY MONEY MARKET FIDELITY SPARTAN	1	13,158.00	
			U S TREASURY MONEY MARKET			
			NEW BALANCE		51,353.23	
639			SECURITY POSITIONS	MKT PRICE		
171			AT&T INC	28.560		
117			ABBOTT LABORATORIES	52.390		
99			AMGEN INC	55.540		
540			APPLE INC	92.670		
225			BANK OF AMERICA	15.250		
			CHEVRON CORP	79.010		
CONTINUED ON PAGE 4						

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657			CISCO SYSTEMS INC	16.540		
585			CITI GROUP INC	8.290		
216			COCA COLA CO	46.870		
324			COMCAST CORP	17.340		
162			CL A			
567			CONOCOPHILIPS	52.520		
1,143			EXXON MOBIL CORP	80.150		
27			GENERAL ELECTRIC CO	17.170		
			GOOGLE	292.960		
270			HEWLETT PACKARD CO	35.280		
621			INTEL CORP	13.800		
153			INTERNATIONAL BUSINESS MACHS	81.600		
405			J.P. MORGAN CHASE & CO	31.660		
297			JOHNSON & JOHNSON	58.580		
126			MCDONALDS CORP	58.750		
234			MERCK & CO	26.720		
855			MICROSOFT CORP	20.220		
432			ORACLE CORPORATION	16.090		
171			PEPSICO INC	56.700		
729			PFIZER INC	16.430		
225			PHILLIP MORRIS INTERNATIONAL	42.160		
324			PROCTER & GAMBLE CO	64.350		
180			QUALCOMM INC	33.570		
135			SCHLUMBERGER LTD	50.740		
13,158			FIDELITY SPARTAN	1		
			U S TREASURY MONEY MARKET			
			CONTINUED ON PAGE 5			

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189			U S BANCORP	26.980		
108			UNITED PARCEL SVC INC	57.600		
50,000			CLASS B			
			U S TREASURY BILL	99.971		
			DUE 03/26/2009			
			3/26/2009			
108			UNITED TECHNOLOGIES CORP	48.530		
306			VERIZON COMMUNICATIONS	32.650		
234			WAL-MART STORES INC	55.880		
378			WELLS FARGO E CO NEW	28.890		
			MARKET VALUE OF SECURITIES			
			LONG			
			459,668.11			
			SHORT			

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BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
			YEAR--TD-DATE SUMMARY DIVIDENDS GROSS PROCEEDS FROM SALES			3,259.59 3,162,937.88

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9	9	43935	BALANCE FORWARD			25,208
9		48261	S & P 100 INDEX NOVEMBER 460 CALL	15.800		14,211.00
9	9	34365	S & P 100 INDEX NOVEMBER 450 PUT	17.800	16,029.00	
9		38690	S & P 100 INDEX DECEMBER 430 CALL	26		23,391.00
9		43015	S & P 100 INDEX DECEMBER 420 PUT	30	27,009.00	
9	9	47340	NOVEMBER 460 CALL S & P 100 INDEX NOVEMBER 450 PUT	3	2,709.00	
				37		33,291.00
			NEW BALANCE			51,354.00
	9		SECURITY POSITIONS S & P 100 INDEX DECEMBER 430 CALL S & P 100 INDEX DECEMBER 420 PUT	MKT PRICE 23.300 16.500		
			MARKET VALUE OF SECURITIES LONG 14,850.00 SHORT 20,970.00--			

TAKE THIS STATEMENT FOR INCOME TAX PURPOSES